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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/607,059 | 06/27/2003 | Gottliard Schleicher | 02P05875 |

24252
 OSRAM SYLVANIA INC
 100 ENDICOTT STREET
 DANVERS, MA 01923

CONFIRMATION NO. 4148

FORMALITIES LETTER



OC000000010861985

Date Mailed: 09/11/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

RECEIVED
 SEP 15 2003
 Patent Department

*A copy of this notice **MUST** be returned with the reply.*


11/03/2003 SSANDARA 00000007 150685 10607059

01 FC:1051 130.00 DA

Oct-17-03 04:35pm From-OSRAM SYLVANIA

847-726-6599

T-102 P.07/10 F-303


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice T File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**Docket No.
02P05875In Re Application Of:
Gotthard SchleicherSerial No.
10/607,059Filing Date
06/27/2003

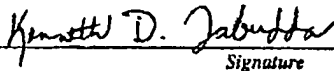
Examiner

Group Art Unit

Invention:
SUPPLY UNITTO THE COMMISSIONER FOR PATENTS:Mail Stop Missing Parts

The fee of \$130.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 15-0685
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.


Signature

Dated: 17 October 2003

Kenneth D. Labudda, USPTO Reg. No. 41,134
OSRAM SYLVANIA INC.
800 N. Church St.
Lake Zurich, IL 60047
TeL (847) 726-6448

CC:

I certify that this document and fee is being deposited
on with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**Docket No.
02P05875In Re Application Of:
Gotthard SchleicherSerial No.
10/607,059Filing Date
06/27/2003

Examiner

Group Art Unit

Invention:
SUPPLY UNITTO THE COMMISSIONER FOR PATENTS:Mail Stop Missing Parts☒ Completion of application fees as calculated below:☐ Utility application filing fee _____☐ Design application filing fee _____☐ Total number of independent claims = _____☐ Total number of claims = _____☐ Multiple dependent claims _____☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____☐ Fee for processing an application filed with a non-English language specification _____☐ Fee for processing and retention of application _____Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five monthsfrom: _____ until: _____
Date Date

Total time extension fees _____

Total fees due \$130.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**Dock 1 No.
02P05875In Re Application Of:
Gutthard SchleicherSerial No.
10/607,059Filing Date
06/27/2003

Examiner

Group Art Unit

Invention:
SUPPLY UNITMail Stop Missing PartsTO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
09/11/2003
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

| | | | |
|--|----------------------------------|-------------------|-----------------------|
| CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) | | Docket No. | |
| Applicant(s): Gotthard Schleicher | | 02P05875 | |
| Serial No. 10/607,059 | Filing Date 06/27/2003 | Examiner | Group Art Unit |
| Invention: SUPPLY UNIT | | | |
| <p>I hereby certify that this <u>Form PTO-1533 (3 pg.), Notice to File Missing Parts (2 pg.), Declaration (3 pg.)</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 746-4060</u>) on <u>October 17, 2003</u> (Date)</p> <p><u>Kenneth D. Labudda, Reg. No. 41,134</u> (Typed or Printed Name of Person Signing Certificate) <u>Kenneth D. Labudda 10/17/2003</u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p> | | | |



FAX

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Kenneth D. Labudda
Osram Sylvania Inc.
800 N. Church St.
Lake Zurich, Illinois 60047
Telephone: (847) 726-6448
Fax: (847) 726-6424

Date October 17, 2003

Recipient's Fax # (703) 746-4060

To: Missing Parts Processor

Company: USPTO - Missing Parts Branch

Fr m: Kenneth D. Labudda

Company: Osram Sylvania Law Dept. (Lake Zurich, IL)

Number of pages
transmitted (including
cover sheet)

10

If there are any
questions or problems
regarding this
transmission only,
please contact:

| | |
|-------------|---------------------|
| Name | Ken Labudda |
| Company | Osram Sylvania Inc. |
| Local Phone | 847-726-6448 |
| Or fax # | 847-726-6424 |

Special Notation:

Dear Sir or Madame,

Attached please find a response to the Notice to File Missing Parts for the captioned case.

Thank you,
Kenneth D. Labudda

**OSRAM
SYLVANIA**